

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of :  
Toshio KODAMA et al. :  
Serial No. 10/525,311 : Group Art Unit: 2881  
Filed: April 18, 2005 : Examiner: Kiet Tuan Nguyen  
For: ION BEAM DEVICE AND ION  
BEAM PROCESSING METHOD : Docket No. S005-5460 (PCT)

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**INFORMATION DISCLOSURE STATEMENT  
UNDER PATENT RULES 97 AND 98**

**S I R:**

Applicants submit this information disclosure statement pursuant to 37 CFR §§1.97 and 1.98.

The present application was filed more than three months prior to the filing of this statement. However, as of the date of filing of this statement, neither a final action under 37 CFR §1.113 nor a notice of allowance under 37 CFR §1.311 has been mailed in this application. Accordingly, this information disclosure statement is being filed under 37 CFR §1.97(c).

04/10/2007 HGUTEMA1 00000005 10525311

02 FC:1806

180.00 OP

**Rule 97(c) Fee**

A check in the amount of \$180.00 is enclosed to cover the required fee set forth in 37 CFR §1.17(p). Should the check be insufficient or should other fees be required, authorization is hereby given to charge any such insufficiency or fee to Deposit Account No. 01-0268.

**Information Disclosure Statement**

Pursuant to 37 CFR §1.98, a list of patents, publications or other information submitted for consideration by the PTO is provided on annexed Form PTO/SB/08A. A legible copy of each item of information listed on the Form is submitted herewith. Also submitted is a copy of the foreign Search Report which cited each item of information.

As to each item of information, if any, which is not in the English language, a concise explanation of the relevance thereof pursuant to 37 CFR §1.98(a)(3) is given in the Search Report which cited the information.

Consideration of this information disclosure  
statement is respectfully requested.

Respectfully submitted,

ADAMS & WILKS  
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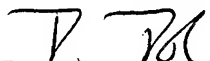
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	10/525,311
Filing Date	April 18, 2005
First Named Inventor	Toshio KODAMA
Art Unit	2881
Examiner Name	Kiet Tuan Nguyen
Attorney Docket Number	S005-5460 (PCT)

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	† <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		JP 2001 345360 A	12-14-2001	HITACHI, Ltd.	See Search Report	✓

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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